

# XML and JSON in Databases

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# Extensible Markup Language (XML)

- A markup language and text-based file format for the Internet.  
(one of the “Web standards”, i.e., by WWW Consortium/W3C and for Web)
- For platform-independent storing/transmitting arbitrary data.  
(it is standardized and well-recognized by major vendors across many products)
- An XML document consists of
  - declaration** of an XML document with version (1.0) and encoding (e.g., utf-8);
  - elements** for logical parts with name, attributes, and the content between the start-tag and end-tag, or empty;
  - tags** for markup constructs that begin with < and end with >;
  - attributes** for name-value pairs that exists within the elements;
  - ... encoded chars, CDATA, processor directives, etc.
- A correct XML document must be
  - well-formed** which is syntactically correct in its format,
  - valid** which is semantically correct in its content.
- The valid XML document must follow a specific XML schema.  
(the XML schema is usually referred from the XML document by XSI attributes)

# An Example of XML Document

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type="text/css" href="./menu.css"?>
<?xml-stylesheet alternate="yes" type="text/xsl" href="./menu.xsl"?>
<breakfastMenu xmlns="http://mypub.com/"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://mypub.com/_http://mypub.com/menu.xsd">
  <food>
    <name>Pilsner Urquell</name>
    <price>50.0</price>
    <description>A pale lager beer brewed in Plzen.</description>
    <calories>215</calories>
  </food>
  <!-- ... another instances of the food element -->
</breakfastMenu>
```

The example puts elements into default namespace `http://mypub.com/menu` and refers the corresponding XSD schema. There are also two processor directives to provide formatting visual styles in CSS and XSLT.

# XML Schema

- The content of an XML document is restricted by an XML schema.  
(utilized by an XML parser to check validity of the document)
- The XML schema is described by an external XML document.  
(e.g., by an XSD file for W3C XML Schema Definition)
- There are several types of XML schema documents.
  - **Document Type Definition (DTD)** – from SGML, deprecated;
  - **W3C XML Schema** – de-facto standard in XML validation;
  - **RELAX NG** – a strong language, the ability to validate text nodes;
  - **Schematron** – rule-based with XPath rules, also quite strong.
- To understand an XML doc. structure, the schema is necessary.  
(XML schema in XML databases vs. relational schema in relational databases)

# An Example of XSD Document

(it corresponds to the previous example of XML document)

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema elementFormDefault="qualified"
  targetNamespace="http://mypub.com/"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="breakfastMenu" type="breakfast-menu-type"/>
  <xs:complexType name="breakfast-menu-type">
    <xs:sequence>
      <xs:element type="food-type" name="food"
        maxOccurs="unbounded" minOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="food-type">
    <xs:all>
      <xs:element type="xs:string" name="name"/>
      <xs:element type="xs:string" name="price"/>
      <xs:element type="xs:decimal" name="description"/>
      <xs:element type="xs:integer" name="calories"/>
    </xs:all>
  </xs:complexType>
</xs:schema>
```

# Types of XML Documents

## Data-centric XML documents

- for data collections with complex structures  
(usually numerical and non-text attribute-value data)
- usually utilized for export/import of data  
(e.g., from/to non-XML databases or applications)
- good and regular structure, order unimportant  
(the ordering of attribute-value data is not important)

## Document-centric XML documents

- for text documents that are marked up as XML  
(to capture document structure, e.g., paragraphs, sections, footnotes etc.)
- utilized for rendering visual outputs,  
(does not make sense to store them into databases as structured data)
- irregular structure, order important  
(one element can be used in various contexts, e.g., XHTML `<span>`)

# An Example of Document-centric XML

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.0 Transitional//EN"
  "http://www.w3.org/TR/xhtml1/DTD/xhtml1-transitional.dtd">
<html xmlns="http://www.w3.org/1999/xhtml">
  <head>
    <title>Title of document</title>
  </head>
  <body>
    A break: <br />
    A horizontal rule: <hr />
    An image: 
  </body>
</html>
```

A data-centric example was provided before on page 5.

# XML Path Language (XPath)

- Querying XML data/documents by a path to matching elements.  
(a context-based location path consists of a sequence of location steps)
- To find XML nodes based on the path from the context or a root element, restricted by given predicates or modified by functions.
- XPath is utilized by Schematron and in XSLT.  
(to target elements in rules for validation or transformation, respectively)

```
(: get food names with the price below 100 :)  
/breakfastMenu/food[price < 100]/name
```

```
(: get food with the name starting with P letter :)  
/*/food[starts-with(name, 'P')]
```

```
(: get a string of all names separated by the semicolon :)  
string-join(//name/text(),'; ')
```

# XML Query (XQuery)

- A functional prog. language to query and transform XML docs.  
(generally applicable and extendable to any collections of structured and unstructured data, e.g., to JSONs or binary data)
- Comparable to XSLT, however, with significantly different goals.  
(XQuery is for querying, XSLT is for transformations)

**for**

```
$i in fn:doc("m.xml")/breakfastMenu/food[name = 'Pilsner_Urquell'],  
$j in fn:doc("m.xml")/breakfastMenu/food[price < $i/price]  
order by $j/price, $j/name
```

**return**

```
<cheaper-than-pilsner>{ $j/name, $j/price }</cheaper-than-pilsner>
```

# Types of XML Data Adoption/Support (1)

DBs supporting XML; Native XML DBs

## Databases with Support of XML Data

- The most of the current RDBMS do support XML data.
- XML data is stored as structured/object data and may be queried.
- Oracle XML DB, PostgreSQL XML type, MySQL XML functs., etc.

## Native XML Databases

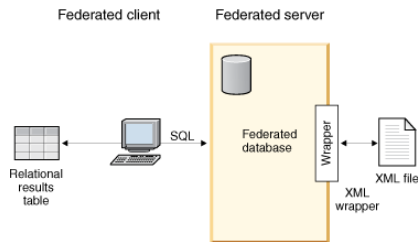
- Data model is based on the Document Object Model (DOM).
- Queries and operations on the XML data are fast.
- E.g., BaseX, eXist-db, and Sedna.

# Types of XML Data Adoption/Support (2)

## XML Wrappers and Mappers

### XML Wrappers/Mappers

- To access XML data in relational way, e.g., to query in SQL.
- E.g., an XML wrapper as a component of the DB2 federated arch.



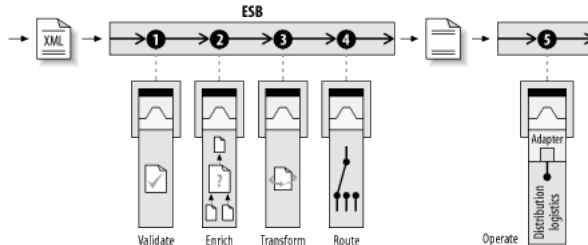
(adopted from "Db2 11.5: XML wrapper")

# Types of XML Data Adoption/Support (3)

## XML Middleware

### Middleware Utilizing XML Technologies

- To communicate between application components in XML format.
- The XML can be utilized as platform-independent data format.
- The ability to implement VETRO features.  
(Validity, Enrichment, Transformations, and content-based ROuting of XML msgs.)
- E.g., SOAP/RDF/RSS-based Web-services, ESB.



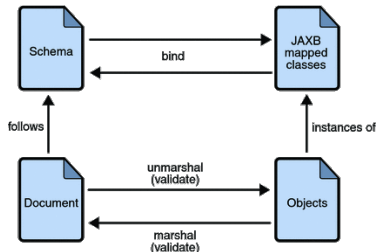
(adopted from "David A. Chappell: Enterprise Service Bus, 2004")

# Types of XML Data Adoption/Support (4)

## XML Binding

### XML Data Binding

- The mapping of XML to object data and vice versa.
- To provide a persistence of the objects.  
(i.e., serialization and deserialization to/from XML data)
- E.g., Java Architecture for XML Binding.



(adopted from "The Java Tutorials")

# XML in Relational Databases

- ❶ XML data in binary/text CLOB/BLOB SQL data types
  - + fast and easy to implement; strictly respects/keeps the content of the original XML document
  - difficult to query or update as a relational database engine cannot access the XML elements inside the stored text/binary values
- ❷ XML data is parsed and individual elements-content and attribute-values are stored in a relation table as column-values
  - + the extracted data is relational and can be queried/modified by common means
  - the original XML document is lost and it may be difficult to reconstruct from the relational data
- ❸ XML data is stored in SQL XML data type as object-rel. data
  - + XML objects have attributes&methods to update/query the XML data
  - the content of the XML document can be integrated with relational data into SQL statements and constraints

# XML and SQL

- SQL:2003-14 defines the basic XML datatype, (later updated in SQL:2006-14, SQL:2008-14, and SQL:2011-14)
  - including mappings of XML and SQL data types and meta-data,
  - predicates to check XML content (CONTENT), match to a XQuery expression (XMLEXISTS), and validity (VALID),
  - and functions such as XMLQUERY to extract values from XML fields.
- However, many DB vendors do not fully support this standard. (e.g., not in MySQL; partially in Oracle, IBM DB2, or MS SQL Server)
- XML data supported in Oracle 9.2 and later (since 2002). (XMLType similar to SQL:2003 XML data type; by Oracle XML DB component)

# XML Data in Column and XPath Querying in SQL

```
CREATE TABLE resolutions_xml (  
    id number PRIMARY KEY, legis_num NUMBER,  
    resolution XMLType  
);  
  
SELECT id,  
    extract(resolution,  
        '/resolution[@public-private="public"]/action')  
    AS action,  
    extractValue(resolution,  
        '/resolution[congress="108"]/official-title')  
    AS title  
FROM resolutions_xml  
WHERE existsNode(resolution,  
    '/resolution[legis-num="558"]') = 1;
```

(adopted from "Querying XML, An Oracle White Paper")

# Querying XML Data in SQL by XQuery

```
SELECT xmlquery('
for_$r_in_$res/resolution
let_$a_:=_$r/action
where_$a/action-date="20040311"
order_by_$r/legis-num_ascending
return
<all-sponsors>
{$a/action-desc/sponsor}
{$a/action-desc/cosponsor}
</all-sponsors>'
  passing resolution as "res"
  returning content
)
FROM resolutions_xml;
```

(adopted from "Querying XML, An Oracle White Paper")

# JavaScript Object Notation (JSON)

- A language-independent data format to store and transmit data.  
(derived from JavaScript, so any valid JSON file is a valid JavaScript file)
- Usually smaller data representation than in the case of XML.  
(and more human-readable and easily parsable than XML; structures, arrays, etc.)
- No data-metadata separation, less customizable, more relaxed<sup>1</sup>.  
(vs. XML validation by a schema w/user-defined types, predefined tags, etc.)

```
[ {  
  "name": "Pilsner Urquell", "price": 50.0,  
  "description": "A pale lager beer brewed in Plzen.",  
  "calories": 215  
}, {  
  "name": "...", "price": 123.0,  
  "description": "...",  
  "calories": 123  
} ]
```

---

<sup>1</sup>There is JSON Schema is based on the concepts from XSD; not standardized.

# JSON and SQL

- SQL:2016 introduced JSON functions,  
(no JSON data type, just functions to process JSON in text-based SQL types)
  - `json_object` and `json_array` to create JSON data as SQL string,
  - `json_exists`, `json_value`, and `json_query` to query JSON data,
  - `json_table` to transform a JSON data into corresponding SQL table.
- SQL can utilized JSON path language from ECMAScript.  
(using `$` for the current context element, `.` for an object member, and `[]` for an array)

# JSON Data in Column and JSON Querying in SQL

```
CREATE TABLE t (  
    jcol CLOB CHECK (jcol IS JSON)  
);  
  
INSERT INTO t (jcol) VALUES (json_array(  
    json_object('id' value 10, 'name' value 'Anna'),  
    json_object('id' value 20, 'name' value 'Bob')  
));  
  
SELECT jt.* FROM t, JSON_TABLE (  
    jcol, '$[*]' COLUMNS (id NUMERIC PATH '$.id',  
        name VARCHAR(255) PATH '$.name')  
    ) jt  
WHERE json_exists(jcol, '$.id')  
    AND json_exists(jcol, '$.name');
```

(adopted from "What's New in SQL:2016")

# JSON in NoSQL Databases

- JSON is well-supported and utilized by NoSQL databases.
- The utilization is both
  - to store JSON value in a key-value NoSQL db for parsing in app.
  - and to serve as a data representation in document NoSQL dbs.
- See MongoDB in the NoSQL lecture and labs.
- Also another NoSQL databases, such as Couchbase, MarkLogic, OrientDB, Riak, etc.

# Thank you for your attention!

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